Shareholding Pattern

SI Capital & Financial Services Ltd

Scip Code: 530970 Quarter Ending: March 2023

SI No	Particulars	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities?	No
3	Whether the Listed Entity has issued any warrants?	Yes
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	Yes
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No
8	Whether the listed entity has any significant beneficial owner?	No

SI Capital & Financial Services Ltd

Table I - Summary Statement holding of specified securities

				No. of	No. of		Sharehold ing as a % of total no. of	Number of Voting Rights held in each class of securities				Shares Underlyin g	derlyin % assuming		nares ding, as a nderlyin %		f Locked in	Number o pledged o otherwise encumber	Number of equity
				Partly	shares underlyin		shares	No of Voting Rights					conversio		As a % of		As a % of	shares	
		Nos. of sharehold	up equity shares	equity shares	g Depositor	Total nos.	d as per	Class eg:			Total as a % of	le securities	convertib le		total Shares		total Shares	demateri alised	
Category	Category of shareholder	ers	held	held	y Receipts	held	1957)	Х	у	Total	(A+B+C)	(including	securities	No. (a)	held(b)	No. (a)	held(b)	form	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a		(I	IX)		(X)	(XI)=	(X	(II)	(X	III)	(XIV)	
(A)	Promoter & Promoter Group	1	1823680	0	0	1823680	53.6376	1823680	0	1823680	53.6376	0	50.6578	200000	10.9668	0	0.0000	1823680	
(B)	Public	1664	1576320	0	0	1576320	46.3624	1576320	0	1576320	46.3624	200000	49.3422	0	0.0000	NA	NA	755070	
(C)	Non Promoter - Non Public				0				0			0			0.0000	NA	NA		
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	
	Total	1665	3400000	0	0	3400000	100.0000	3400000	0	3400000	100.0000	200000	100.0000	200000	5.8823	0	0.0000	2578750	

Note C = C1+C2 Grand Total = A+B+C

SI Capital & Financial Services Ltd

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

					No. of fully paid	Partly paid-up	No. of shares underlyin		ding % calculate d as per	Number o of securiti	es	ghts held in	each class	Underlyin g Outstandi	assuming full conversio	Number of Locked in		encumbered		Number of equity shares held in
		F. Mar.		Nos. of	up equity	' '	Depositor		1957 As a	Class age	Class as:		Total	e	convertibl		total		total	demateri alised
	Catagorius & Norma of the shoreholders	Entity	PAN	sharehold	snares held	shares held	y Dogodina	shares held		Class eg:	Class eg:	Total	_	securities		No. (a)	Shares	No. (a)	Shares held(b)	
	Category & Name of the shareholders	Туре	(II)	ers (III)	(IV)	(V)	Receipts (VI)	(VII) =	(A+B+C2) (VIII) As a		<u> </u>	X)	Rights	(including (X)	(XI)=		held(b)	No. (a)	XIII)	form (XIV)
1	Indian		(")	(111)	(17)	(•)	(VI)	(11) -	(VIII) AS a			^)		(^)	(///-	(4	\ii)	,	AIII)	(AIV)
(a)	Individuals / Hindu Undivided Family			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Central Government / State Government(s)			0	0	0	0	0	0.0000	0	0		0.0000	0	0.0000	0	0.0000	0	0.0000	0
• •	Financial Institutions / Banks			0	0	0	0	0	0.0000	0	0		0.0000	0	0.0000	0		0	0.0000	0
	Any Other (Specify)			1	1823680	0	0	1823680	53.6376	1823680	0	1823680	53.6376	200000	50.6578	200000	10.9668	0	0.0000	1823680
	Bodies Corporate			1	1823680	0	0	1823680	53.6376	1823680	0	1823680	53.6376	200000	50.6578	200000	10.9668	0	0.0000	1823680
	Sharewealth Securities Ltd	Promoter	AAKCS310	1	1823680	0	0	1823680	53.6376	1823680	0	1823680	53.6376	200000	50.6578	200000	10.9668	0	0.0000	1823680
	Sub Total (A)(1)			1	1823680	0	0	1823680	53.6376	1823680	0	1823680	53.6376	200000	50.6578	200000	10.9668	0	0.0000	1823680
2	Foreign																			
	Individuals (Non-Resident Individuals / Foreign																			
(a)	Individuals)			0	0	0	0	0	0.0000	0	0	0		0	0.0000	0		0	0.0000	0
(b)	Government			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(-/	Institutions			0	0	0	0	0	0.0000	0	0		0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Foreign Portfolio Investor			0	0	0	0	0	0.0000	0	0		0.0000	0	0.0000	0	0.0000	0	0.0000	0
` '	Any Other (Specify)			0	0	0	0	-	0.0000	0	0		0.0000	0	0.0000	0	0.000	0	0.0000	0
	Sub Total (A)(2)			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)			1	1823680	0	0	1823680	53.6376	1823680	0	1823680	53.6376	200000	50.6578	200000	10.9668	0	0.0000	1823680

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting

Note:

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

⁽¹⁾ PAN would not be displayed on website of Stock Exchange(s)

SI Capital & Financial Services Ltd
Table III - Statement showing shareholding pattern of the Public shareholder

													No. of	Shareho			Numbe	r of Shares				
													Shares	lding,			pledge	d or				
								Sharehol	Numbe	er of Voti	ng Rights h	eld in	Underlyi	as a %	Number o	fLocked						
								ding %		lass of se			ng	assumin	in shares		encum		Number	Sub-categ	orization of	f shares
					Partly			calculate	llculate Total O		Outstand	g full	III SIIGICS		encumbereu		of equity	Jub categ	JIIZULIOII O	- Silares		
				No. of	paid-	No. of		d as per				as a %	ing	conversi					shares	Sharehold	ling(No. of	shares)
				fully paid	up	shares		SCRR,	No of \	oting Ri	zhts	of	convertib	on of		As a %		As a % of	held in	under	0.	•
			Nos. of	up equity	equity	underlying	Total nos.	1957 As a				Total	le	converti		of total		total	demateri		Sub-	Sub-
			sharehol	shares	shares	Depository	shares	% of	Class	Class		Voting	securities	ble		Shares		Shares	alised	category(category(category(
	Category & Name of the shareholders	PAN	ders	held	held	Receipts	held	(A+B+C2)	eg: X	eg: y	Total	Rights	(includin	securiti	No. (a)	held(b)	No. (a)	held(b)	form	i)	ii)	iii)
	(1)	(11)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a			(IX)		(X)	(XI)=	(XI	I)		(XIII)	(XIV)		(XV)	
1	Institutions (Domestic)																					
(a)	Mutual Fund		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
(b)	Venture Capital Funds		0	0	0	0	0	0.0000	0	0	0	0.0000		0.0000	0	0.0000	NA	NA	0			
(c)	Alternate Investment Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
(d)	Banks		1	9200	0	0	9200	0.2706	9200	0	9200	0.2706	0	0.2556			NA	NA	0	0	0	0
(e)	Insurance Companies		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000		NA	0	-	_	
(f)	Provident Funds/ Pension Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0		NA	NA	0		\vdash	
(G)	Asset Reconstruction Companies		0		0	0	0	0.0000	0	0	0	0.0000	0	0.0000		0.0000			0		\vdash	
(h)	Sovereign Wealth Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	_	0.0000	n	0.0000		NA	0			
(i)	NBFCs registered with RBI		0	0	0	0	0	0.0000	0	0	0	0.0000		0.0000	0	0.0000		NA	0		\vdash	
(i)	Other Financial Institutions		0	0	0	0	0	0.0000	0	0	0	0.0000		0.0000	0	0.0000		NA	0		\vdash	
(k)			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0		NA	NA	0			
(K)	Any Other (Specify)		1	9200	0	0	9200	0.2706	9200	0	9200	0.0000	0	0.2556	0		NA NA	NA NA	0	0		
2	Sub Total (B)(1)		1	9200	U	U	9200	0.2706	9200	U	9200	0.2706	U	0.2556	U	0.0000	NA	NA	U	U	U	0
_	Institutions (Foreign)				_	_		0.0000				0.0000	•	0.0000		0.0000					\vdash	
(a)	Foreign Direct Investment		0		0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000		NA	0			
(b)	Foreign Venture Capital Investors		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0		NA	NA	0			
(c)	Sovereign Wealth Funds		0	-	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000		NA	0			
(d)	Foreign Portfolio Investors Category I		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0		NA	NA	0			
(e)	Foreign Portfolio Investors Category II		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
	Overseas Depositories(holding DRs)																					1
(f)	(balancing figure)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000		NA	0			1
(g)	Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0		NA	NA	0			i
	Sub Total (B)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			i
	Central Government/ State																					
3	Government(s)																					i I
(a)	Central Government / President of India		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
(b)	State Government / Governor		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
	Shareholding by Companies or Bodies																					
	Corporate where Central / State																					1
(C)	Government is a promoter		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			1
	Sub Total (B)(3)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
4	Non-Institutions			0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
(a)	Associate companies / Subsidiaries		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000		NA	0		\vdash	
(/	Directors and their relatives (excluding		_	_	_		-		-	-			-		-				_			
	Independent Directors and nominee								33698												1	1
(b)	Directors)		2	336980	0	0	336980	9.9112	0	0	336980	9.9112	200000	14.916	0	0.0000	NΔ	NA	336980	0	0	0
(2)	Anu Thomas Cheriyan	AEAPC049	1	200000	0	0	200000	5.8824	200000		200000	5.8824	200000			0.0000	NA	NA	200000	-		
	Anoop Jolly	AJUPA350		136980	0	0	136980	4.0288	136980		136980	4.0288	200000	3.805			NA	NA	136980		$\vdash \vdash \vdash$	\vdash
(C)	Key Managerial Personnel		0	130980	0	0	130300	0.0000	130980	0	0	0.0000	0	0.0000	0	0.0000		NA NA	0		\vdash	\vdash
(C)	ney ivianagenai reisonnei		U	U	U	U	U	0.0000	U	U	U	0.0000	U	0.0000	U	0.0000	IVA	IVA	U			

	Relatives of promoters (other than																					
	'immediate relatives' of promoters																					
	disclosed under 'Promoter and Promoter																					
	Group' category)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
	Trusts where any person belonging to																					
	'Promoter and Promoter Group' category																					
	is 'trustee', 'beneficiary', or 'author of the																					
(E)	trust"		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
	Investor Education and Protection Fund																					
(f)	(IEPF)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
	i. Resident Individual holding nominal								96273			28.315										
(g)	share capital up to Rs. 2 lakhs.		1630	962732	0	0	962732	28.3156	2	0	962732	6	0	26.743	0	0.0000	NA	NA	241882	0	0	0
	ii. Resident individual holding nominal								10980													
(h)	share capital in excess of Rs. 2 lakhs.		2	109800	0	0	109800	3.2294	0	0	109800	3.2294	0	3.05	0	0.0000	NA	NA	51900	0	0	0
	Jayant Pranjiwandas			57900	0	0	57900	1.7029	57900	0	57900	1.7029	0	1.6083	0	0.0000	NA	NA	0			
	Surendra Kumar Nakhat .	AJCPS1741		51900	0	0	51900	1.5265	51900	0	51900	1.5265	0	1.4416	0	0.0000	NA	NA	51900			
									12340													
(i)	Non Resident Indians (NRIs)		5	123406	0	0	123406	3.6296		0	123406	3.6296	0	3.4279	0	0.0000	NA	NA	123406	0	0	0
	Vivek Ramachandran	ADFPR132	1	112400	0	0	112400	3.3059	112400	0	112400	3.3059	0	3.1222	0	0.0000	NA	NA	112400			
(j)	Foreign Nationals		0	0	0	0	0	0.0000	0	0		0.0000		0.0000	0	0.0000	NA	NA	0			
· <i>'</i>	Foreign Companies		0	U	0	0	_	0.0000	0	0		0.0000		0.0000	-		NA	NA	0			
.,	Bodies Corporate			-	0	0		0.9853	33500	0		0.9853		0.9306		0.000		NA	200	0	0	0
	Any Other (Specify)			702	0	0	_	0.0206		0		0.0206		0.0195		0.0000		NA	702	0	0	0
	Hindu Undivided Family		3	702	0	0	702	0.0206		0		0.0206	0	0.0195	0	0.0000	NA	NA	702	0	0	0
									15671			46.091										
	Sub Total (B)(4)		1663	1567120	0	0	1567120	46.0918	20	0	1567120		200000	49.087	0	0.0000	NA	NA	755070	0	0	0
	Total Public Shareholding (B)=								15763			46.362										
	(B)(1)+(B)(2)+(B)(3)+b(4)		1664	1576320	0	0	1576320	46.3624	20	0	1576320	4	200000	49.342	0	0.0000	NA	NA	755070	0	0	0

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

No. of shareholders	No. of Sha	%
0		

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense

	No. of
No. of shareholders	Shares
0	0

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to be disclosed along with the names of the shareholders holding 1% or more than 1% of shares of the listed entity. Column no.(XIII)is not applicable in the above format.
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.
- (4) Categorization and disclosure of each shareholder category should be carried out in the order prescribed in the above format. If a shareholder is falling under more than one category, then the same shall be
- (5) Sub-categorization of shares under column no.(XV) will be based on shareholding(no. of shares)under the following sub-categories:
- (i) Shareholder who are represented by a nominee Director on the board of the listed entity or have the right to nominate a representative (i.e. Director) on the board of the listed entity.
- (ii) Shareholder who have entered into shareholder agreement with the listed entity.
- (iii) Shareholders acting as persons in concert with promoters.

SI Capital & Financial Services Ltd

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

						No. of shares underl		sha reh oldi ng %	Number of Voting Rights held in each class of securities			Shares Underlyin g	assuming	ding , as a Mumber of shares		Number of pledged of otherwise encumber	r	Number of equity	
				No. of Partly ying calc ng conversio		nı		conversio		As a % of		As a % of	shares						
				up equity	equity	•	Total nos.	ed				Total as a	_	convertib		total		total	demateri
			sharehold	shares	shares	Receip	shares	as	Class	Class		% of	securities	le		Shares		Shares	alised
	Category & Name of the shareholders	PAN	ers	held	held	ts	held	per	eg: X	eg: y	Total	(A+B+C)	(including	securities	No. (a)	held(b)	No. (a)	held(b)	form
	(1)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII			(IX)		(X) (X		(XI)= (XII)		(XIII)		(XIV)
								0.00											
1	Custodian/DR Holder		0	0	0	0	0	00	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Employee Benefit Trust / Employee Welfare Trust under																		
	SEBI (Share based Employee Benefits and Sweat Equity)							0.00											
2	Regulations, 2021		0	0	0	0	0	00	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Total Non-Promoter- Non Public Shareholding (C)=							0.00											
	(C)(1)+(C)(2)		0	0	0	0	0	00	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to be disclosed along with the names of the shareholders holding 1% or more than 1% of shares of the listed entity. Column no.(XIII) is not applicable in the above format.
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.